

ANNUAL RETURN

Form No. MGT-7

[Pursuant to sub-section (1) of section 92 of Companies Act, 2013 and sub-rule (1) of rule 11 of the Companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS

(i) Corporate Identification Number (CIN) of the Company: **L00305MH1973PLC174201**

Global Location Number (GLN) of the Company: **NIL**

Permanent Account Number (PAN) of the Company: **AABCA9521E**

(ii) (a) Name of the Company: **Alkem Laboratories Limited**

(b) Registered Office address: **Alkem House, Senapati Bapat Marg, Lower Parel West, Mumbai- 400 013, Maharashtra, India.**

(c) E-mail ID of the Company: investors@alkem.com

(d) Telephone number with STD code: **02239829999**

(e) Website: www.alkemlabs.com

(iii) Date of Incorporation: **08/08/1973**

(iv)

Type of the Company	Category of the Company	Sub-category of the Company
Public Company	Company Limited by Shares	Indian Non- government Company

(v) Whether the Company is having share capital: **YES**

(vi) Whether shares listed on recognized Stock Exchange: **YES**

(a) Details of stock exchanges where shares are listed

Sr. No.	Stock Exchange Name	Code
1	BSE Limited & National Stock Exchange of India Limited	1,025

(b) CIN of the Registrar and Transfer Agent: **U67190MH1999PTC118368**

Name of the Registrar and Transfer Agent: **Link Intime India Private Limited**

Registered office of the Registrar and Transfer Agent: **C-101, 1st Floor, 247 Park, Lal Bahadur Shastri Marg, Vikhroli (West), Mumbai Maharashtra-400 083.**

(vii) Financial Year From date: **01/04/2019** To date: **31/03/2020**

(viii) Whether Annual General Meeting held: **NO**

(a) If yes, date of AGM: AGM is scheduled to be held on **18/08/2020**

(b) Due date of AGM: **30/09/2020**

(c) Whether any extension for AGM granted: **NO**

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

Number of business activities: **2**

Sr. No.	Main Activity Group Code	Description of Main activity Group	Business Activity Code	Description of Business Activity	% of turnover of the Company
1	C	Manufacturing	C6	Chemical and chemical products, pharmaceuticals, medicinal chemical and botanical products	71.99
2	G	Trade	G1	Wholesale Trading	28.01

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

Number of Companies for which information is to be given: **21**

Sr. No.	Name of the Company	CIN/FCRN	Holding subsidiary/ Associate/ Joint Venture	% of shares held
1	Enzene Biosciences Limited	U24232PN2006PLC165610	Subsidiary	99.91
2	Cachet Pharmaceuticals Private Limited	U24230BR1978PTC001328	Subsidiary	58.80
3	Indchemie Health Specialities Private Limited	U24230MH1986PTC039692	Subsidiary	51.00
4	Alkem Foundation	U85100MH2010NPL206161	Subsidiary	100
5	Alkem Laboratories (Pty) Limited	N.A	Subsidiary	100
6	Alkem Laboratories Corporation	N.A	Subsidiary	100
7	Ascend GmbH	N.A	Subsidiary	100

8	Pharmacor Pty Limited	N.A	Subsidiary	100
9	S & B Holding B V	N.A	Subsidiary	100
10	The PharmaNetwork LLC	N.A	Subsidiary	100
11	Ascend Laboratories LLC	N.A	Subsidiary	100
12	Ascend Laboratories Sdn Bhd	N.A	Subsidiary	100
13	Ascend Laboratories SpA	N.A	Subsidiary	100
14	Pharma Network SpA	N.A	Subsidiary	100
15	Pharmacor Limited	N.A	Subsidiary	100
16	The PharmaNetwork LLP	N.A	Subsidiary	100
17	Alkem Laboratories Korea, Inc	N.A	Subsidiary	100
18	S & B Pharma Inc	N.A	Subsidiary	100
19	Ascend Laboratories (Uk) Ltd	N.A	Subsidiary	100
20	Ascend Laboratories Limited	N.A	Subsidiary	100
21	Ascend Laboratories SAS	N.A	Subsidiary	100

IV. SHARE CAPITAL, DEBENTURE AND OTHER SECURITIES OF THE COMPANY

i. SHARE CAPITAL

(a) Equity Share Capital

Particulars	Authorized capital	Issued capital	Subscribed capital	Paid-up capital
Total number of equity shares	250,000,000	119,565,000	119,565,000	119,565,000
Total amount of Equity Shares (in Rupees)	500,000,000	239,130,000	239,130,000	239,130,000

Number of classes: 1

Class of Shares Equity Shares	Authorized capital	Issued capital	Subscribed capital	Paid-up capital
Number of equity shares	250,000,000	119,565,000	119,565,000	119,565,000
Nominal value per share (in rupees)	2	2	2	2
Total amount of Equity Shares (in rupees)	500,000,000	239,130,000	239,130,000	239,130,000

(b) Preference Share Capital

Particulars	Authorized capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference Shares (in rupees)	0	0	0	0

Number of classes: 0

Class of Shares	Authorized capital	Issued capital	Subscribed capital	Paid-up capital
Number of preference shares	0	0	0	0
Nominal value per share (in rupees)	0	0	0	0
Total amount of preference Shares (in rupees)	0	0	0	0

(c) Unclassified Share Capital

Particulars	Authorized capital
Total amount of unclassified shares	0

(d) Break-up of paid-up Share Capital

Class of shares	Number of shares	Total nominal amount	Total Paid-up amount	Total premium
Equity Shares				
At the beginning of the year	119,565,000	239,130,000	239,130,000	0
Increase during the year				
i. Public issue	0	0	0	0
ii. Rights issue	0	0	0	0
iii. Bonus issue	0	0	0	0
iv. Private placement/ preferential allotment	0	0	0	0
v. ESOPs	0	0	0	0
vi. Sweat Equity shares allotted	0	0	0	0
vii. Conversion of preference shares	0	0	0	0
viii. Conversion of debentures	0	0	0	0
ix. GDRs/ ADRs	0	0	0	0
x. Others, specify	0	0	0	0
Decrease during the year				
i. Buy-back of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify	0	0	0	0
At the end of the year	119,565,000	239,130,000	239,130,000	0

ii. Details of stock split/ consolidation during the year (for each class of shares): NIL

iii. Details of Shares /Debenture Transfer since closure date of last financial year (or in case of first return at any time since the incorporation of the Company) : NIL

iv. Indebtedness including debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			2,386,016,939
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			9,707,814,323
Deposits	0	0	0
Total			12,093,831,262

Details of Debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

v. Securities (other than shares and debentures): NIL**V. Turnover and Net Worth of the Company (as defined in the Companies Act, 2013)**i. Turnover: **66,770,822,744**ii. Net worth of the Company: **62,799,203,659**

VI. (a) SHAREHOLDING PATTERN- PROMOTERS

Sr. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1	Individual/Hindu Undivided Family	78,774,497	65.88	0	0
	(i) Indian	0	0	0	0
	(ii) Non-Resident Indian (NRI)	0	0	0	0
	(iii) Foreign National (other than NRI)	0	0	0	0
2	Government	0	0	0	0
	(i) Central Government	0	0	0	0
	(ii) State Government	0	0	0	0
	(iii) Government Companies	0	0	0	0
3	Insurance Companies	0	0	0	0
4	Banks	0	0	0	0
5	Financial institutions	0	0	0	0
6	Foreign Institutional Investors	0	0	0	0
7	Mutual Funds	0	0	0	0
8	Venture Capital	0	0	0	0
9	Body Corporate (not mentioned above)	0	0		
10	Others, specify	0	0	0	0
	Total	78,774,497	65.88	0	0

Total number of shareholders (promoters): 27

(b) SHAREHOLDING PATTERN- PUBLIC/ OTHER THAN PROMOTERS

Sr. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1	Individual/Hindu Undivided Family				
	(i) Indian	21,143,841	17.68	0	0

	(ii) Non-Resident Indian (NRI)	185,271	0.15	0	0
	(iii) Foreign National (other than NRI)	0	0.00	0	0
2	Government				
	(i) Central Government	288,081	0.24	0	0
	(ii) State Government	0	0.00	0	0
	(iii) Government Companies	0	0	0	0
3	Insurance Companies	2,801,172	2.34	0	0
4	Banks	14	0.00	0	0
5	Financial institutions	3,94,049	0.33	0	0
6	Foreign Institutional Investors	4,348,472	3.64	0	0
7	Mutual Funds	10,217,665	8.55	0	0
8	Venture Capital	0	0	0	0
9	Body Corporate (not mentioned above)	930,132	0.78	0	0
10	Others, specify (Clearing Member, Trust, Alternative Investment Funds)	481,806	0.41	0	0
	Total	40,790,503	34.12	0	0

Total number of shareholders (other than promoters): 74, 490

Total number of shareholders (Promoters + Public/ other than promoters): 74,517

VII. NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	27	27
Members (other than promoters)	71,525	74,490
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANGERIALPERSONNEL

(A) Composition of Board of Directors

Category	Number of Directors at the beginning of the year		Number of Directors at the end of the year		Percentage of shares held by Directors as at the end of year	
	Executive	Non-Executive	Executive	Non-Executive	Executive	Non-Executive
A. Promoter	5	1	6	0	20.08	0
B. Non- Promoter	0	6	0	6	0	0
(i) Non- Independent	0	0	0	0	0	0
(ii) Independent	0	6	0	6	0	0
Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing Institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small Share Holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	5	7	6	6	20.08	0

Number of Directors and Key Managerial Personnel (who is not director) as on financial year end date: 14

(B) (i) Details of Directors and Key Managerial Personnel as on the closure of financial year.

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year: If any)
Basudeo N. Singh	00760310	Whole-time Director	8,662,100	-
Dhananjay Kumar Singh	00739153	Managing Director	7,466,260	-
Sandeep Singh	01277984	Managing Director	112,357	-

Mritunjay Kumar Singh	00881412	Whole-time Director	7,625,000	
Balmiki Prasad Singh	00739856	Whole-time Director	71,595	-
Sarvesh Singh	01278229	Whole-time Director	79,800	-
Ranjal Laxmana Shenoy	00074761	Director	0	-
Arun Kumar Purwar	00026383	Director	0	-
Sangeeta Singh	06920906	Director	0	-
Sudha Ravi	06764496	Director	0	-
Dheeraj Sharma	07683375	Director	0	-
Narendra Aneja	00124302	Director	57	-
Rajesh Matasevak Dubey	AAHPD0258Q	CFO	210	-
Manish Narang	AAHPN6263D	Company Secretary	163	-

(ii) Particulars of change in director(s) and Key Managerial Personnel during the year: 5

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment / change in designation / cessation)
Samprada Singh	00760279	Director	27/07/2019	Cessation
Sarvesh Singh	01278229	Additional Director	11/11/2019	Appointment
Sarvesh Singh	01278229	Whole -Time Director	11/11/2019	Change in Designation
Akhouri Maheshwar Prasad	07066439	Director	15/03/2020	Cessation
Narendra Aneja	00124302	Additional Director	16/03/2020	Appointment

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/ COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS/REQUISITIONED/NCLT/COURTCONVENED MEETINGS

Number of Meetings held: 1

Type of Meeting	Date of Meeting	Total Number of Members entitled to attend the Meeting	Attendance	
			Number of Members attended	% of total shareholding
Annual General Meeting	27/08/2019	73,304	126	21.02

B. BOARD MEETINGS

Number of Meetings Held: 7

Sr. No.	Date of Meeting	Total Number of Directors associated as on the date of Meeting	Attendance	
			Number of Directors Attended	% of attendance
1	05/04/2019	12	10	83.33
2	30/05/2019	12	12	100
3	04/06/2019	12	7	58.33
4	12/08/2019	11	10	90.90
5	11/11/2019	11	9	81.81
6	20/12/2019	12	10	83.33
7	07/02/2020	12	10	83.33

C. COMMITTEE MEETINGS

Number of meetings held: 18

Sr. No.	Type of Meeting	Date of Meeting	Total Number of Members as on the date of the Meeting	Attendance	
				Number of Members attended	% of attendance
1	Audit Committee	05/04/2019	6	5	83.33
2	Audit Committee	30/05/2019	6	6	100
3	Audit Committee	04/06/2019	6	5	83.33
4	Audit Committee	24/06/2019	6	6	100

5	Audit Committee	12/08/2019	6	5	83.33
6	Audit Committee	07/11/2019	6	5	83.33
7	Audit Committee	06/02/2020	6	6	100
8	Stakeholders Relationship Committee	29/05/2019	4	4	100
9	Stakeholders Relationship Committee	12/08/2019	4	4	100
10	Stakeholders Relationship Committee	11/11/2019	4	4	100
11	Stakeholder Relationship Committee	07/02/2020	4	4	100
12	Nomination & Remuneration Committee	29/05/2019	4	4	100
13	Nomination & Remuneration Committee	07/11/2019	4	4	100
14	Nomination & Remuneration Committee	20/12/2019	4	4	100
15	Nomination & Remuneration Committee	06/02/2020	4	4	100
16	Risk Management Committee	06/02/2020	5	5	100
17	Corporate Social Responsibility Committee	29/05/2019	5	4	80
18	Corporate Social Responsibility Committee	11/11/2019	5	4	80

D. ATTENDANCE OF DIRECTORS

S.No.	Name of the Director	Board Meetings			Committee Meetings			Whether attended AGM held on Scheduled to be held on 18/08/2020* (Y/N/NA)
		Number of Meetings which director was entitled to attend	Number of Meetings Attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings Attended	% of attendance	
1	Samprada Singh	3	2	66.67	0	0	0	-
2	Basudeo N. Singh	7	7	100	4	4	100	-
3	Dhananjay Kumar Singh	7	5	71.42	7	7	100	-
4	Sandeep Singh	7	6	85.71	8	7	87.5	-
5	Mritunjay Kumar Singh	7	7	100	14	14	100	-
6	Balmiki Prasad Singh	7	3	42.85	2	0	0	-
7	Akhouri Maheshwar Prasad	7	7	100	15	15	100	-
8	Ranjal Laxmana Shenoy	7	6	85.71	13	12	92.30	-
9	Arun Kumar Purwar	7	7	100	6	6	100	-
10	Sangeeta Singh	7	5	71.42	11	11	100	-
11	Sudha Ravi	7	5	71.42	8	7	87.5	-
12	Dheeraj Sharma	7	6	85.71	1	1	100	-

** Data will be updated after ensuing Annual General Meeting.*

IX. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered: 6

Sr. No	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat Equity	Others	Total Amount
1	Basudeo N.Singh	Executive Chairman	87,757,032	42,500,000	0	9,187,200	139,444,232
2	Sandeep Singh	Managing Director	67,072,436	-	-	5,385,484	72,457,920
3	Dhananjay Kumar Singh	Joint Managing Director	66,997,656	-	-	5,229,000	72,226,656
4	Mritunjay Kumar Singh	Executive Director	62,750,062	-	-	5,229,000	67,979,062
5	Balmiki Prasad Singh	Executive Director	48,869,839	-	-	2,674,800	51,544,639
6	Sarvesh Singh	Executive Director	12,921,340	-	-	756,800	13,678,140
Total			346,368,365	42,500,000		28,462,284	417,330,649

Number of CEO, CFO and Company Secretary whose remuneration details to be entered: 2

Sr. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat Equity	Others	Total Amount
1	Manish Narang	Company Secretary	13,543,450	0	0	1,000,356	14,543,806
2	Rajesh Dubey	CFO	20,016,635	0	0	1,463,477	21,480,112
Total			33,560,085	0	0	24,63,833	36,023,918

Number of other directors whose remuneration details to be entered: 7

Sr. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat Equity	Others	Total Amount
1	Samprada Singh	Chairman Emeritus	0	45,610,340	0	0	45,610,340
2	Arun Kumar Purwar	Independent Director	0	1,800,000	0	2,20,000	20,20,000
3	Ranjal Laxmana Shenoy	Independent Director	0	1,800,000	0	3,20,000	21,20,000
4	Akhourri Maheshwar Prasad	Independent Director	0	1,800,000	0	4,40,000	22,40,000
5	Sudha Ravi	Independent Director	0	1,800,000	0	2,40,000	20,40,000
6	Sangeeta Singh	Independent Director	0	1,800,000	0	3,20,000	21,20,000
7	Dheeraj Sharma	Independent Director	0	1,800,000	0	1,40,000	19,40,000
Total			0	56,410,340	0	16,80,000	58,090,340

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

- A. Whether the Company has made compliances and disclosure in respect of applicable provisions of the Companies Act, 2013 during the year: **YES**
- B. If No, give reasons/ observations- **N.A.**

XII. PENALTY AND PUNISHMENT- DETAILS THEREOF

- (A) Details of Penalties/Punishment Imposed on Company/ Directors/Officers: **NIL**
- (B) Details of Compounding of Offences: **NIL**

XIII. WHETHER COMPLETE LIST OF SHAREHOLDERS, DEBENTURE HOLDERS HAS BEEN ENCLOSED AS AN ATTACHEMENT:

The details are available with the Company and can be obtained by members upon request.

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, INCASE OF LISTED COMPANIES

In case of a listed company or company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of Company Secretary in whole time practice certifying the annual return in Form MGT-8:

Form MGT-8 will be obtained from the Company's Secretarial Auditors for FY 2019-20

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in his Return, the Company has complied with all the provisions of the Act during the financial year.
