

ANNUAL RETURN

Form No. MGT-7

[Pursuant to sub-section (1) of section 92 of Companies Act, 2013 and sub-rule (1) of rule 11 of the Companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS

(i) Corporate Identification Number (CIN) of the Company: **L00305MH1973PLC174201**

Global Location Number (GLN) of the Company: **NIL**

Permanent Account Number (PAN) of the Company: **AABCA9521E**

(ii) (a) Name of the Company: **ALKEM LABORATORIES LIMITED**

(b) Registered Office address: **ALKEM HOUSE, SENAPATI BAPAT MARG, LOWER PAREL WEST, MUMBAI- 400 013, MAHARASHTRA, INDIA**

(c) E-mail ID of the Company: investors@alkem.com

(d) Telephone number with STD code: **02239829999**

(e) Website: www.alkemlabs.com

(iii) Date of Incorporation: **08/08/1973**

(iv)

Type of the Company	Category of the Company	Sub-category of the Company
Public Company	Company Limited by Shares	Indian Non- government Company

(v) Whether the Company is having share capital: **YES**

(vi) Whether shares listed on recognized Stock Exchange: **YES**

(a) Details of stock exchanges where shares are listed

Sr. No.	Stock Exchange Name	Code
1	BSE Limited & National Stock Exchange of India Limited	1,025

(b) CIN of the Registrar and Transfer Agent: **U67190MH1999PTC118368**

Name of the Registrar and Transfer Agent: **LINK INTIME INDIA PRIVATE LIMITED**

Registered office of the Registrar and Transfer Agent: **C-101, 1st Floor, 247 Park, Lal Bahadur Shastri Marg, Vikhroli (West), Mumbai Maharashtra-400 083**

- v. Financial Year From date: 01/04/2018 To date: 31/03/2019
- vi. Whether Annual General Meeting held: **NO**
- (a) If yes, date of AGM: AGM is scheduled to be held on 27/08/2019
- (b) Due date of AGM: 30/09/2019
- (c) Whether any extension for AGM granted: **NO**

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY Number of business activities: 2

Sr. No.	Main Activity Group	Description of Main activity Group	Business Activity Code	Description of Business Activity	% of turnover of the Company
1	C	Manufacturing	C6	Chemical and chemical products, pharmaceuticals, medicinal chemical and botanical products	67.78
2	G	Trade	G1	Wholesale Trading	32.22

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

Number of Companies for which information is to be given: 20

Sr. No.	Name of the Company	CIN/FCRN	Holding subsidiary/ Associate/ Joint Venture	% of shares held
1	ENZENE BIOSCIENCES LIMITED	U24232PN2006PLC165610	Subsidiary	99.98
2	CACHET PHARMACEUTICALS PRIVATE LIMITED	U24230BR1978PTC001328	Subsidiary	58.80
3	INDCHEMIE HEALTH SPECIALITIES PRIVATE LIMITED	U24230MH1986PTC039692	Subsidiary	51.00
4	ALKEM FOUNDATION	U85100MH2010NPL206161	Subsidiary	100

5	ALKEM LABORATORIES (PTY) LIMITED	N.A	Subsidiary	100
6	ALKEM LABORATORIES CORPORATION	N.A	Subsidiary	100
7	ASCEND GmbH	N.A	Subsidiary	100
8	PHARMACOR PTY LIMITED	N.A	Subsidiary	100
9	S & B HOLDING BV	N.A	Subsidiary	100
10	THE PHARMA NETWORK LLC	N.A	Subsidiary	100
11	ASCEND LABORATORIES LLC	N.A	Subsidiary	100
12	ASCEND LABORATORIES Sdn bhd	N.A	Subsidiary	100
13	ASCEND LABORATORIES SpA	N.A	Subsidiary	100
14	PHARMA NETWORK SpA	N.A	Subsidiary	100
15	PHARMACOR LIMITED	N.A	Subsidiary	100
16	THE PHARMANETWORK LLP	N.A	Subsidiary	100
17	ALKEM LABORATORIES KOREA, INC	N.A	Subsidiary	100
18	S & B PHARMA INC	N.A	Subsidiary	100
19	ASCEND LABORATORIES (UK) LIMITED	N.A	Subsidiary	100
20	ASCEND LABORATORIES LIMITED	N.A	Subsidiary	-

IV. SHARE CAPITAL, DEBENTURE AND OTHER SECURITIES OF THE COMPANY

i. SHARE CAPITAL

(a) Equity Share Capital

Particulars	Authorized	Issued capital	Subscribed capital	Paid-up capital
Total number of equity	250,000,000	119,565,000	119,565,000	119,565,000
Total amount of Equity Shares (in Rupees)	500,000,000	239,130,000	239,130,000	239,130,000

Number of classes: 1

Class of Shares	Authorized capital	Issued capital	Subscribed capital	Paid-up capital
Equity Shares				
Number of equity shares	250,000,000	119,565,000	119,565,000	119,565,000
Nominal value per share (in rupees)	2	2	2	2
Total amount of Equity Shares (in rupees)	500,000,000	239,130,000	239,130,000	239,130,000

(b) Preference Share Capital

Particulars	Authorized capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference Shares (in Rupees)	0	0	0	0

Number of classes: 0

Class of Shares	Authorized capital	Issued capital	Subscribed capital	Paid-up capital
Number of preference shares	0	0	0	0
Nominal value per share (in Rupees)	0	0	0	0
Total amount of preference Shares (in Rupees)	0	0	0	0

(c) Unclassified Share Capital

Particulars	Authorized capital
Total amount of unclassified shares	0

(d) Break-up of paid-up Share Capital

Class of shares	Number of shares	Total nominal amount	Total paid-up amount	Total premium
Equity Shares				
At the beginning of the year	119,565,000	239,130,000	239,130,000	0
Increase during the year				
i. Public issue	0	0	0	0
ii. Rights issue	0	0	0	0
iii. Bonus issue	0	0	0	0
iv. Private placement/ Preferential Allotment	0	0	0	0
v. ESOPs	0	0	0	0
vi. Sweat Equity shares allotted	0	0	0	0
vii. Conversion of preference shares	0	0	0	0
viii. Conversion of debentures	0	0	0	0
ix. GDRs/ ADRs	0	0	0	0
x. Others, specify	0	0	0	0
Decrease during the year				
i. Buy-back of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify	0	0	0	0
At the end of the year	119,565,000	239,130,000	239,130,000	0

ii. Details of stock split/ consolidation during the year (for each class of shares): NIL

iii. Details of Shares /Debenture Transfer since closure date of last financial year (or in case of first return at any time since the incorporation of the Company): NIL

iv. Indebtedness including debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible	0	0	0
Partly convertible	0	0	0
Fully convertible	0	0	0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			323,794,372

Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			4,705,847,091
Deposits	0	0	0
Total	0	0	5,02,96,41,463

Details of Debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible	0	0	0	0
Partly convertible	0	0	0	0
Fully convertible	0	0	0	0

v. Securities (other than shares and debentures): NIL

V. Turnover and Net Worth of the Company (as defined in the Companies Act, 2013)

i. Turnover: 57,140,837,434.00

ii. Net worth of the Company: 54,646,973,923.00

VI. (a) SHAREHOLDING PATTERN- Promoters

Sr. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1	Individual/Hindu Undivided Family	7,89,33,524	66.02	0	0
	(i) Indian			0	0
	(ii) Non-Resident Indian	0	0	0	0
	(iii) Foreign National (other than NRI)	0	0	0	0
2	Government	0	0	0	0
	(i) Central Government	0	0	0	0
	(ii) State Government	0	0	0	0
	(iii) Government companies	0	0	0	0
3	Insurance Companies	0	0	0	0
4	Banks	0	0	0	0
5	Financial institutions	0	0	0	0
6	Foreign Institutional Investors	0	0	0	0
7	Mutual Funds	0	0	0	0
8	Venture Capital	0	0	0	0
9	Body Corporate (not mentioned above)	0	0		

10	Others, specify	0	0	0	0
	Total	7,89,33,524	66.02	0	0

Total number of shareholders (promoters): 27

(b) SHAREHOLDING PATTERN- Public/ Other than promoters

Sr. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1	Individual/Hindu Undivided Family				
	(i) Indian	2,79,88,611	23.41	0	0
	(ii) Non-Resident Indian (NRI)	1,38,229	0.12	0	0
	(iii) Foreign National (other than NRI)	0	0.00	0	0
2	Government				
	(i) Central Government	2,81,663	0.24	0	0
	(ii) State Government	0	0.00	0	0
	(iii) Government companies	0	0.00	0	0
3	Insurance Companies	0	0.00	0	0
4	Banks	3,563	0.00	0	0
5	Financial institutions	1,04,232	0.08	0	0
6	Foreign Institutional Investors	0	0.00	0	0
7	Mutual Funds	56,31,648	4.71	0	0
8	Venture Capital	0	0.00	0	0
9	Body Corporate (not mentioned above)	60,52,914	5.06	0	0
10	Others, specify (Clearing Member, Trust, Alternative Investment Funds)	4,30,616	0.36	0	0
	Total	4,06,31,476	33.98	0	0

Total number of shareholders (other than promoters): 71525

Total number of shareholders (Promoters + Public/ other than promoters): 71552

VII. NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS (Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	27	27
Members (other than promoters)	68,485	71,525
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANGERIALPERSONNEL

(A) Composition of Board of Directors;

Category	Number of Directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-Executive	Executive	Non-Executive	Executive	Non-Executive
A. Promoter	5	1	5	1	19.99	1.32
B. Non- Promoter	0	6	0	6	0	0
(i) Non-Independent	0	1	0	0	0	0
(ii) Independent	0	6	0	6	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & Fls	0	0	0	0	0	0
(ii) Investing Institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small Share Holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	5	7	5	7	19.99	1.32

Number of Directors and Key Managerial Personnel (who is not director) as on financial year end date: 14

(B) (i) Details of Directors and Key Managerial Personnel as on the closure of financial year.

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year: If any)
SAMPRADA SINGH	00760279	Director	15,77,190	-
BASUDEO N. SINGH	00760310	Whole-time Director	86,62,100	-
DHANANJAY KUMAR SINGH	00739153	Managing Director	74,66,260	-
SANDEEP SINGH	01277984	Managing Director	1,11,857	-
MRITUNJAY KUMAR SINGH	00881412	Whole-time director	76,04,000	-
BALMIKI PRASAD SINGH	00739856	Whole-time director	71,595	-

AKHOURI MAHESHWAR PRASAD	07066439	Director	0	-
RANJAL LAXMANA	00074761	Director	0	-
ARUN KUMAR PURWAR	00026383	Director	0	-
SANGEETA SINGH	06920906	Director	0	-
SUDHA RAVI	06764496	Director	0	-
DHEERAJ SHARMA	07683375	Director	0	-
RAJESH MATASEVAK DUBEY	AAHPD0258Q	CFO	210	
MANISH ABNASHI LAL NARANG	AAHPN6263D	Company Secretary	163	

(ii) Particulars of change in director(s) and Key Managerial Personnel during the year:
NIL

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/ COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS/REQUISITIONED/NCLT/COURTCONVENED

MEETINGS Number of Meetings held: 1

Type of Meeting	Date of Meeting	Total Number of Members entitled to attend the	Attendance	
			Number of members attended	% of Total shareholding
Annual General Meeting	31/08/2018	69993	111	19.85

B. BOARD MEETINGS

Number of Meetings Held: 5

Sr. No.	Date of Meeting	Total Number of Directors associated as on the date of meeting	Attendance	
			Number of Directors Attended	% of attendance
1	02/04/2018	12	12	100
2	30/05/2018	12	10	83.33
3	10/08/2018	12	11	91.67
4	02/11/2018	12	11	91.67
5	08/02/2019	12	11	91.67

C. COMMITTEE MEETINGS

Number of meetings held: 17

Sr. No.	Type of Meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	26/04/2018	5	4	80
2	Audit Committee	29/05/2018	5	3	60
3	Audit Committee	09/08/2018	5	5	100
4	Audit Committee	01/11/2018	5	5	100
5	Audit Committee	07/02/2019	6	6	100
6	Stakeholders Relationship Committee	29/05/2018	4	3	75
7	Stakeholders Relationship Committee	09/08/2018	4	4	100
8	Stakeholders Relationship Committee	01/11/2018	4	4	100
9	Stakeholder Relationship Committee	07/02/2019	4	4	100
10	Nomination & Remuneration Committee	30/05/2018	4	3	75
11	Nomination & Remuneration Committee	02/11/2018	4	4	100
12	Nomination & Remuneration Committee	06/02/2019	4	4	100
13	Corporate Social Responsibility Committee	29/05/2018	4	4	100
14	Corporate Social Responsibility Committee	09/08/2018	4	4	100
15	Corporate Social Responsibility Committee	01/11/2018	4	4	100
16	Corporate Social Responsibility Committee	07/02/2019	4	4	100
17	Corporate Social Responsibility Committee	26/02/2019	5	3	60

D. ATTENDANCE OF DIRECTORS

S.No.	Name of the Director	Board Meetings			Committee Meetings			Whether attended AGM held on 31/08/2018 (Y/N/NA)
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
1	SAMPRADA SINGH	5	2	40	0	0	0	No
2	BASUDEO N. SINGH	5	5	100	3	3	100	Yes
3	DHANANJAY KUMAR SINGH	5	5	100	9	9	100	Yes
4	SANDEEP SINGH	5	5	100	7	7	100	Yes
5	MRITUNJAY KUMAR SINGH	5	5	100	12	10	83.33	Yes
6	BALMIKI PRASAD SINGH	5	4	80	5	4	80	No
7	AKHOURI MAHESHWAR PRASAD	5	4	80	8	6	75	Yes
8	RANJAL LAXMANA SHENOY	5	5	100	14	14	100	Yes
9	ARUN KUMAR PURWAR	5	5	100	4	4	100	Yes
10	SANGEETA SINGH	5	5	100	8	6	75	Yes
11	SUDHA RAVI	5	5	100	5	5	100	Yes
12	DHEERAJ SHARMA	5	5	100	0	0	0	Yes

X. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered: 5

Sr. No	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat Equity	Others	Total Amount
1	BASUDEO NARAIN SINGH	Executive Chairman	88,291,825	42,500,000	0	9,187,200	139,979,025
2	SANDEEP SINGH	Managing Director	55,091,391	0	0	4,258,065	59,349,456
3	DHANANJAY KUMAR SINGH	Joint Managing Director	57,361,904	0	0	3,960,000	61,321,904
4	MRITUNJAY KUMAR SINGH	Executive Director	53,500,143	0	0	3,960,000	57,460,143
5	BALMIKI PRASAD SINGH	Executive Director	42,609,638	0	0	2,091,600	44,701,238
Total			296,854,901	42,500,000	0	23,456,865	362,811,766

Number of CEO, CFO and Company Secretary whose remuneration details to be entered: 2

Sr. No	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat Equity	Others	Total Amount
1	Manish Narang	Company Secretary	12,360,177	0	0	818,124	13,178,301
2	Rajesh Dubey	CFO	20,258,963	0	0	1,439,476	21,698,439
Total			32,619,139	0	0	2,257,600	34,876,739

Number of other directors whose remuneration details to be entered: 7

Sr. No.	Name	Designation	Gross Salary	Commission	Stock Option / Sweat Equity	Others	Total Amount
1.	SAMPRADA SINGH	Chairman Emeritus	0	14,26,78,500	0	0	1426,78,500
2.	ARUN KUMAR PURWAR	Independent Director	0	1,500,000	0	1,80,000	1,680,000
3.	RANJAL LAXMANA SHENOY	Independent Director	0	1,500,000	0	3,00,000	18,00,000
4.	AKHOURI MAHESHWAR PRASAD	Independent Director	0	1,500,000	0	2,20,000	17,20,000
5.	SUDHA RAVI	Independent Director	0	1,500,000	0	2,20,000	17,20,000
6.	SANGEETA SINGH	Independent Director	0	1,500,000	0	2,40,000	17,40,000
7.	DHEERAJ SHARMA	Independent Director	0	1,500,000	0	1,20,000	16,20,000
Total			0	15,16,78,500	0	12,80,000	15,29,58,500

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND IS CLOSURES

- A. Whether the Company has made compliances and disclosure in respect of applicable provisions of the Companies Act, 2013 during the year: **YES**
- B. If No, give reasons/observations- **NA**

XII. PENALTY AND PUNISHMENT- DETAILS THEREOF

- (A) DETAILS OF PENALTIES/PUNISHMENT IMPOSED ON COMPANY/DIRECTORS/OFFICERS: **NIL**
- (B) DETAILS OF COMPOUNDING OF OFFENCES: **NIL**

XIII. WHETHER COMPLETE LIST OF SHAREHOLDERS, DEBENTURE HOLDERS HAS BEEN ENCLOSED AS AN ATTACHEMENT:

The details are available with the Company and can be obtained by members upon request.

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, INCASE OF LISTED COMPANIES

In case of a listed company or company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of Company Secretary in whole time practice certifying the annual return in Form MGT-8:

Form MGT-8 will be obtained from the Company's Secretarial Auditors for FY 2018-19

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in his Return, the Company has complied with all the provisions of the Act during the financial year.
